

---

# Passivation principles for Ge MOSFETs

John Robertson, Huanglong Li

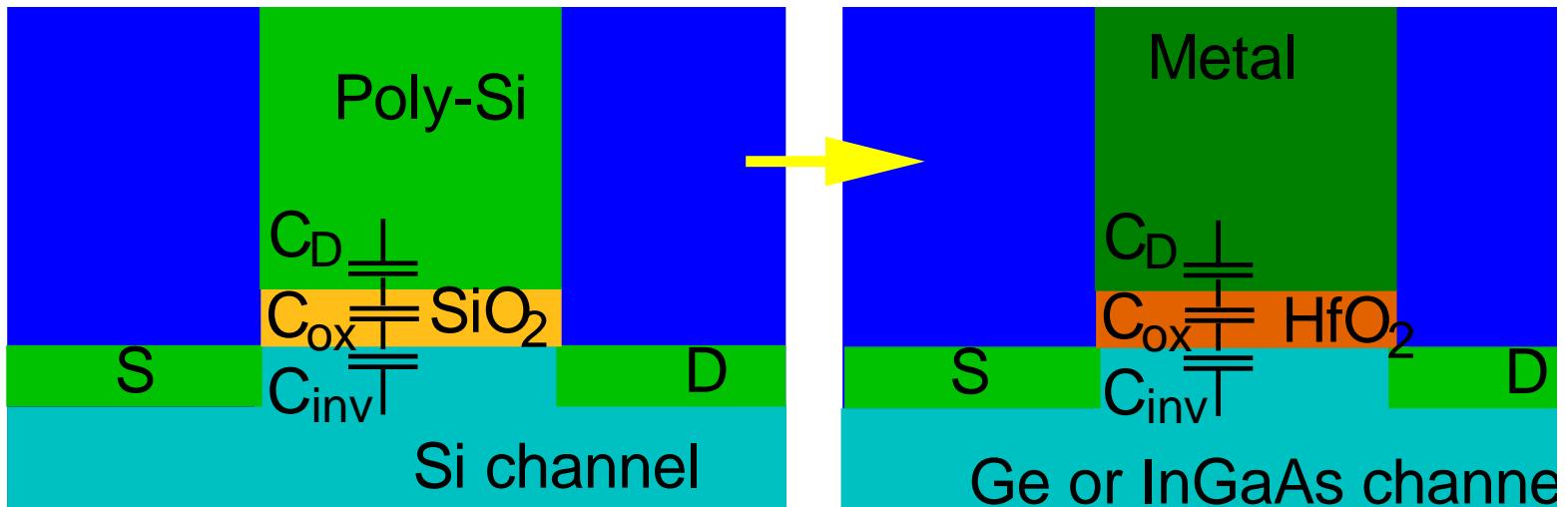
Engineering Dept, Cambridge University, UK

[jr@eng.cam.ac.uk](mailto:jr@eng.cam.ac.uk)

- Need for Ge
- Defects in  $\text{GeO}_2$
- Various oxides on Ge
- Diffusion barriers

# Future CMOS

---



- Replace  $SiO_2$  with high K oxide,  $HfO_2$
- Replace poly-Si gate with metal gate
- Replace Si channel with high mobility channel

## Need for Ge

---

- Ge has higher carrier mobilities than Si, particularly holes
- Ge pFET, GaAs nFET
- Ge nFET, pFET both possible

	Si	Ge	GaAs
Band Gap (eV)	1.1	0.66	1.42
Electron mobility (cm <sup>2</sup> /V.s)	1500	3900	8500
Hole mobility (cm <sup>2</sup> /V.s)	450	1900	400

# Problems

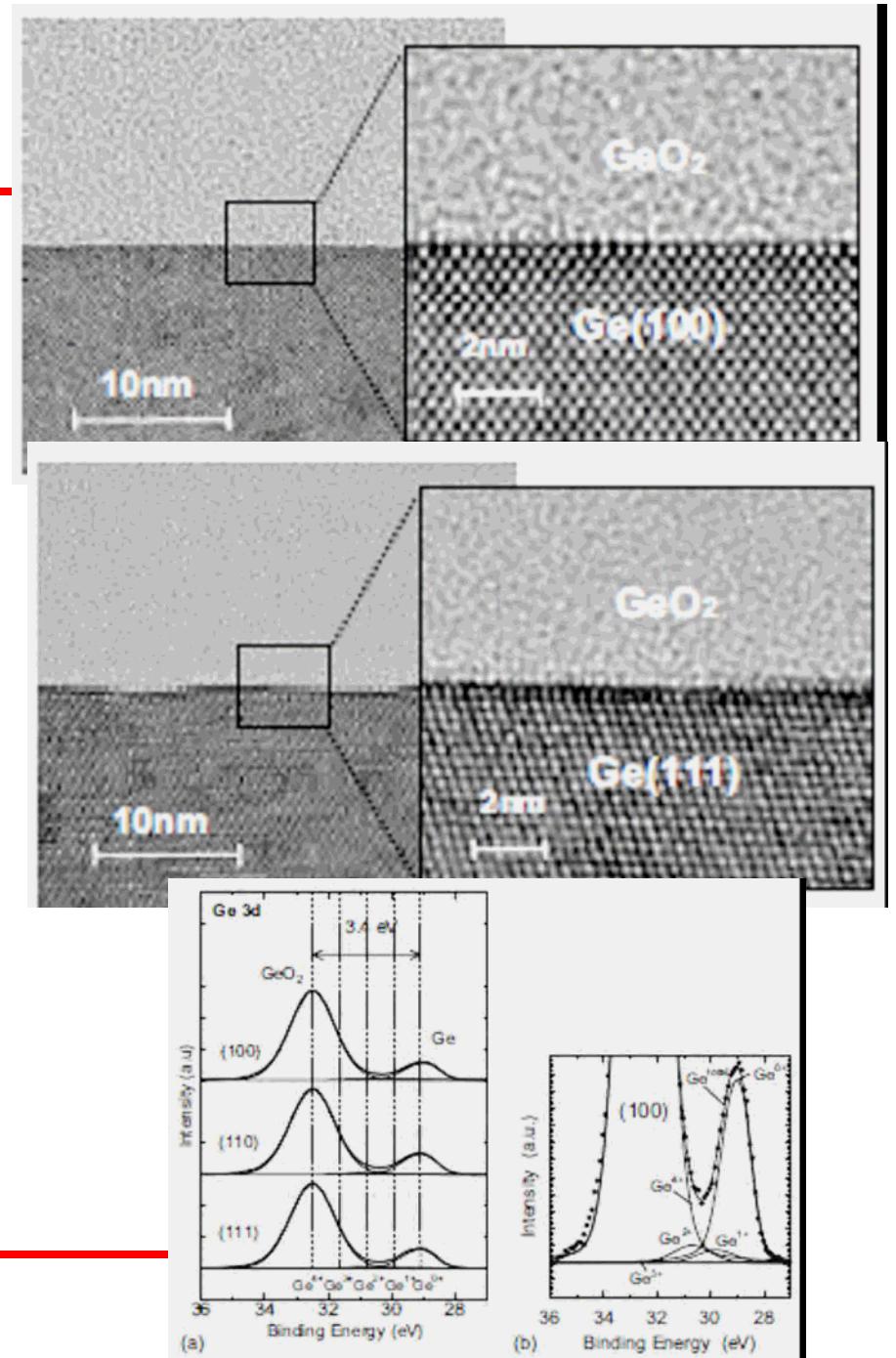
---

- $\text{GeO}_2$  - Lack of insulating properties
  - $\text{GeO}_2$  poor passivation
  - $\text{GeO}$  volatilisation
- 
- Fermi level pinning near VB for nFET

# Ge:GeO<sub>2</sub> interfaces

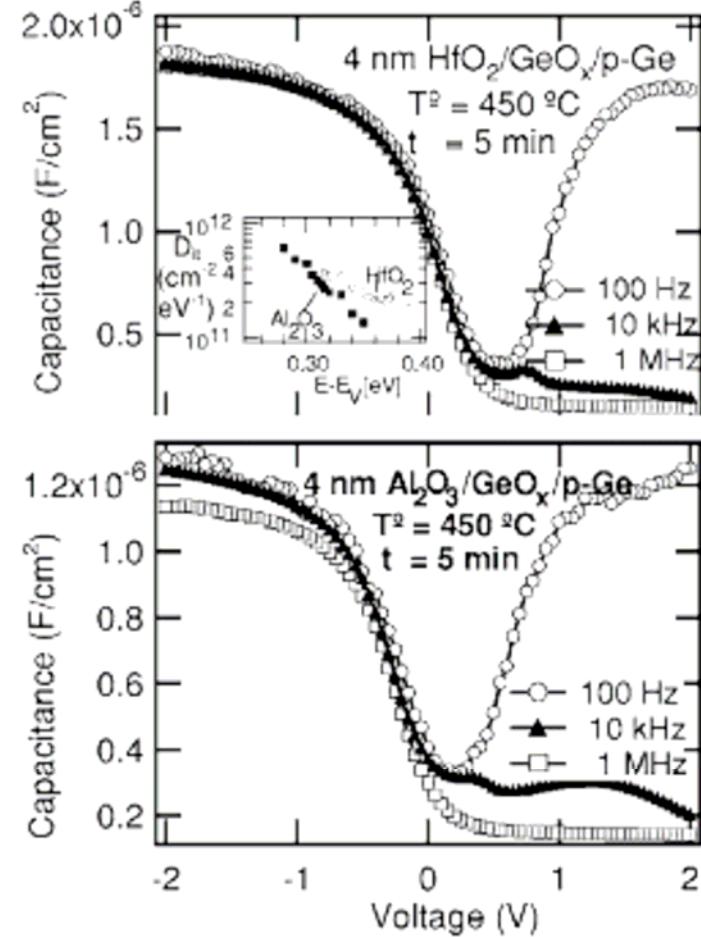
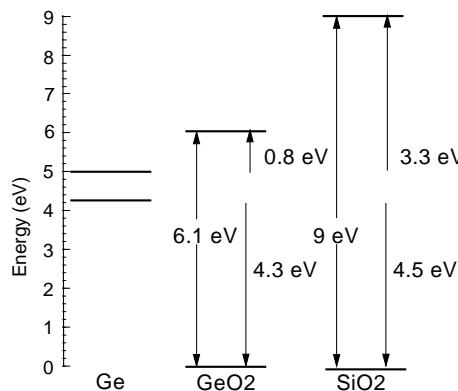
---

- Ge:GeO<sub>2</sub> interface is smooth, and abrupt
  - Sasada, Takagi, JAP 106 073716 (2009);
  - Zhang, Takagi VLSI (2011)
- 
- GeO<sub>2</sub> on Si is electrically good. Kita, Toriumi, Jpn J App Phys 47 2349 (2008)



# Ge:GeO<sub>2</sub> interfaces

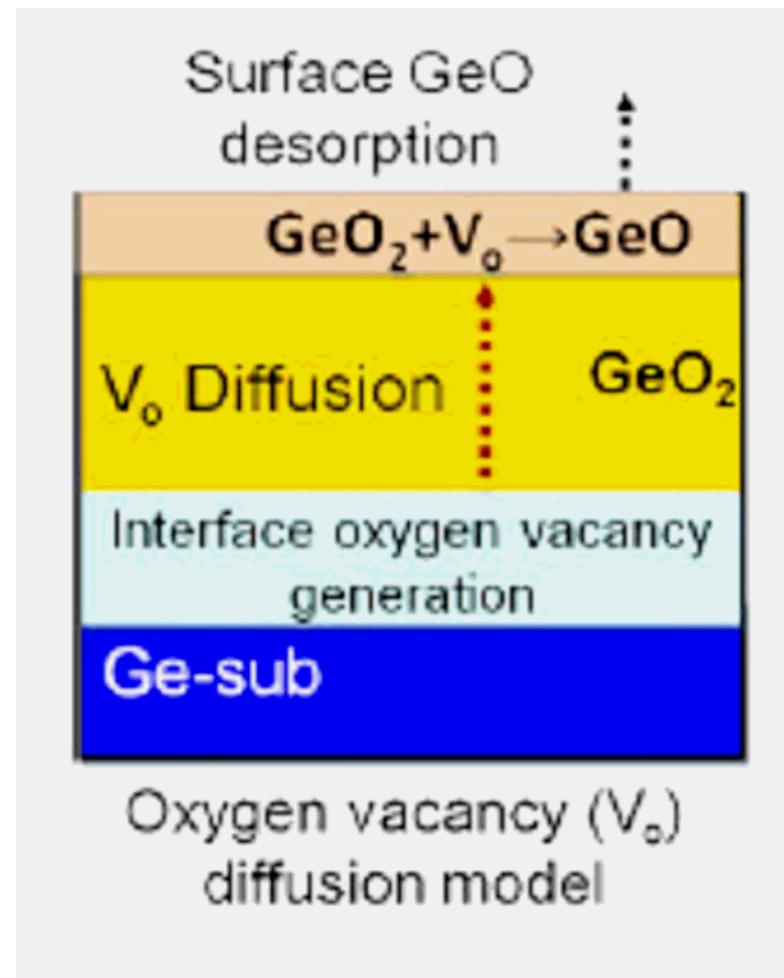
- GeO<sub>2</sub> as good passivant for Ge, Delabie (IMEC), APL 91 082904 (2007)
- But CBO of GeO<sub>2</sub> is too small, and GeO<sub>2</sub> has too high leakage; by itself does not scale



CUED

# GeO volatilisation

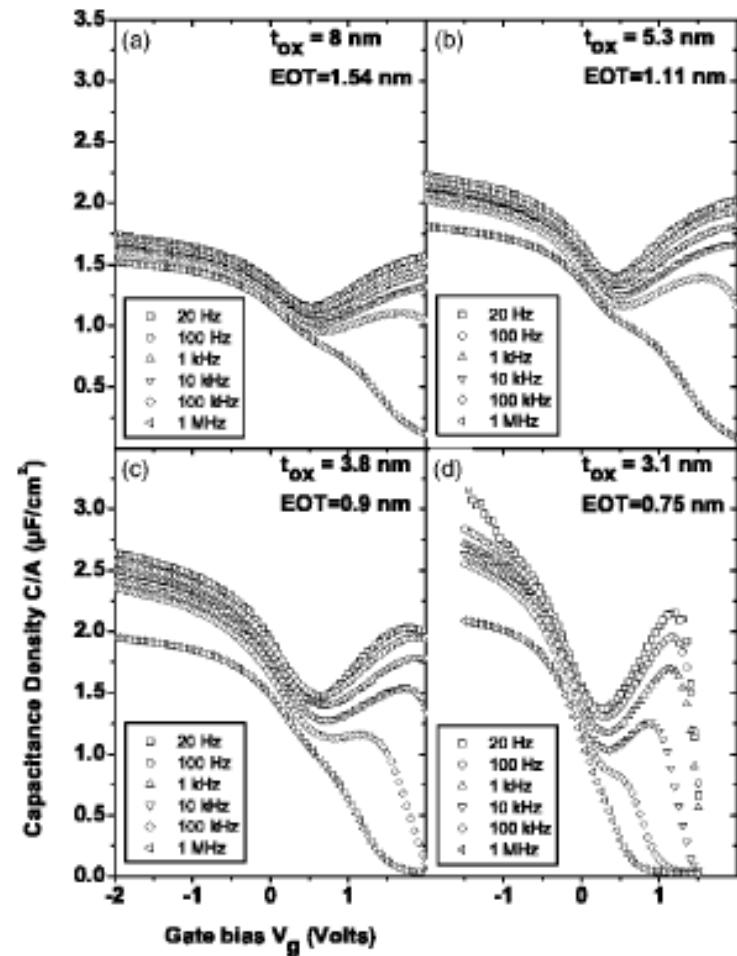
- GeO evolution causes defects and poor electrical behavior
- Needs supply of Ge to occur –
  - not for  $\text{GeO}_2$  on Si
- GeO desorbs from surface
- O vac diffusion through  $\text{GeO}_2$
- Kita, Toriumi, IEDM 2009, JJAP 50 04DA01 (2011)



# Ge:HfO<sub>2</sub>

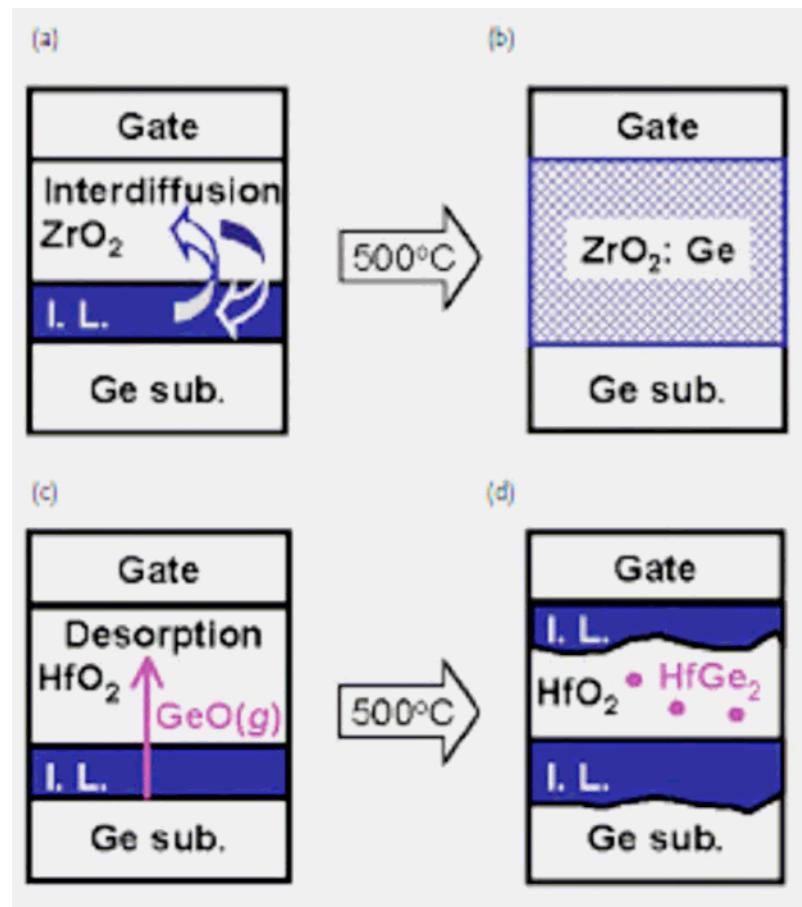
---

- CV of HfO<sub>2</sub> on Ge can be poor. (Dimoulas, APL (2005))
- Partly because of small Ge band gap
- Partly poor interface or reaction



# Ge:HfO<sub>2</sub>

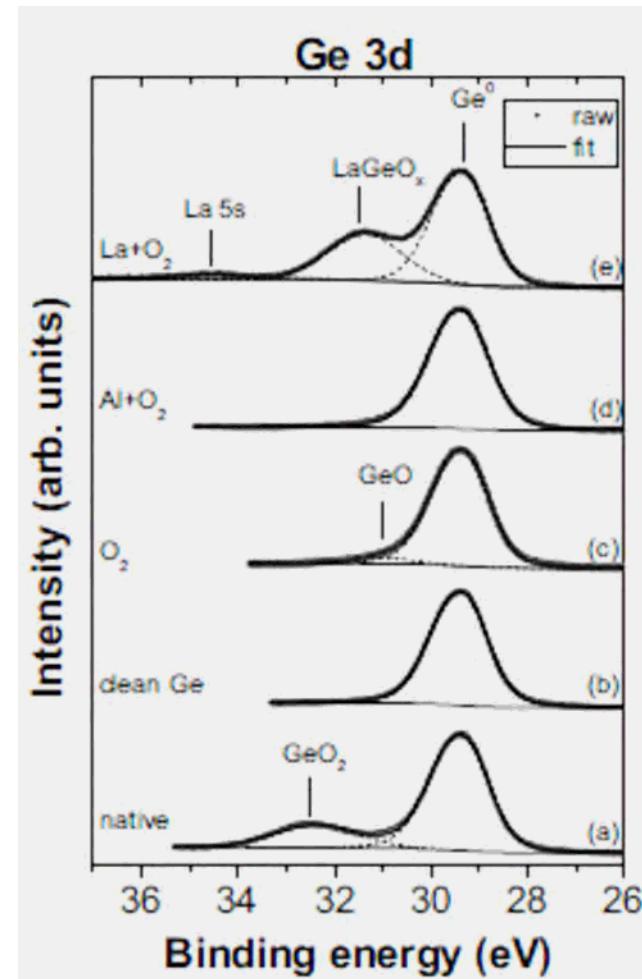
- Reaction of GeO with HfO<sub>2</sub> (Kamata, Materials Today 2008)
- avoid



# Proposals

---

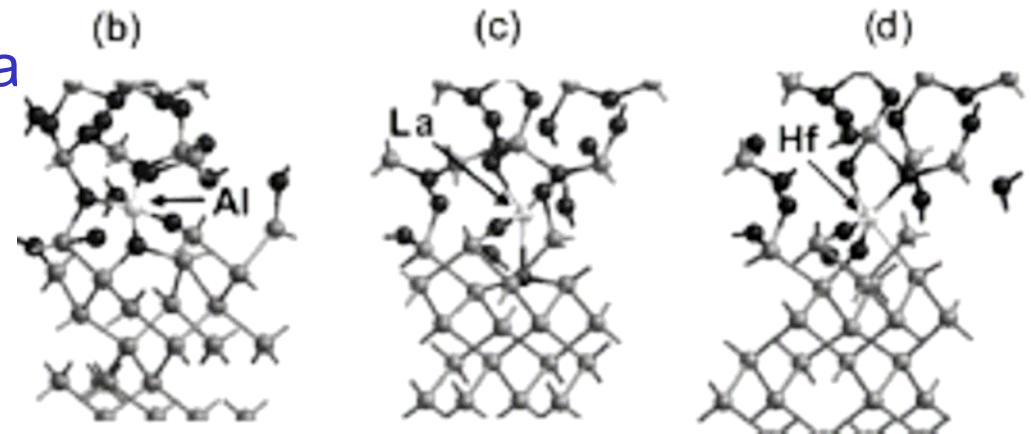
- Remove  $\text{GeO}_2$  (Kamata, Materials Today 2008)
- Don't use  $\text{HfO}_2$ , use  $\text{LaO}_x$
- $\text{LaO}_x$  has strong reaction with Ge
- Dimoulas, APL 96 012902 (2010);
- Afanasev, APL 93 102115 (2008);
- Houssa APL 92 242101 (2008)



# Proposals

---

- LaO<sub>x</sub> has strong reaction with Ge
- Different reasoning but similar conclusion
- Dimoulas, APL 96 012902 (2010); Afanasev, APL 93 102115 (2008);
- Houssa APL 92 242101 (2008)
  - Hf makes Hf-O and Hf-M bonds, La makes only La-O bonds



## Thermodynamics etc

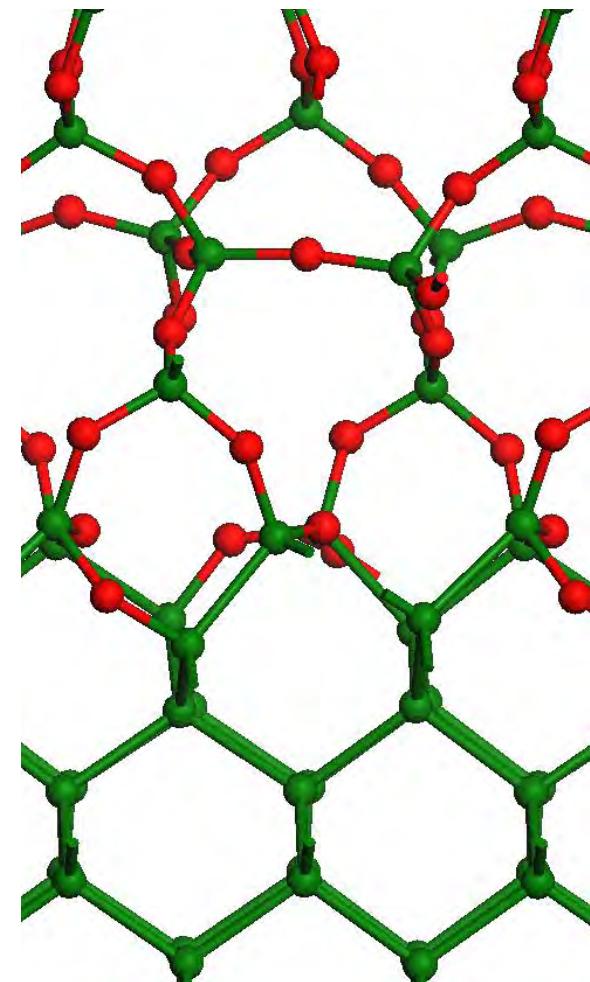
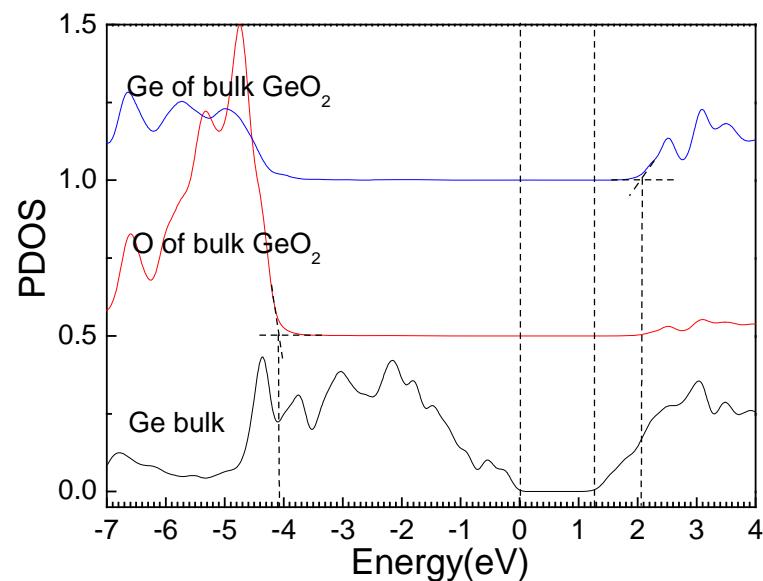
---

- $\text{GeO}_2$  is considerably less stable than  $\text{SiO}_2$
- $\text{Ge}^{2+}$  more stable
- Band gap much less
- Ge-H bond strength similar to Si-H

	$\text{SiO}_2$	$\text{GeO}_2$
$\Delta H_f$ (eV)	-4.80	-3.27
Band gap (eV)	9.0	6.0
Si-H bond (eV)	3.3	3.1
CNL (of semiconductor) (eV)	0.3	0

# Ge:GeO<sub>2</sub> interface

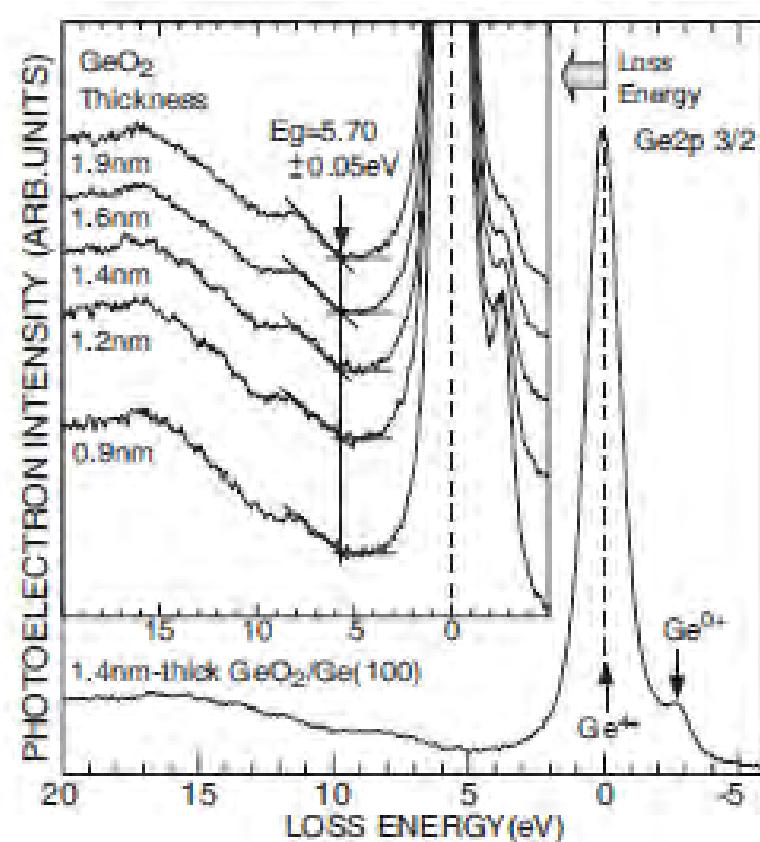
- Calculated VB offset = 4.3 eV, CBO = 0.8 eV



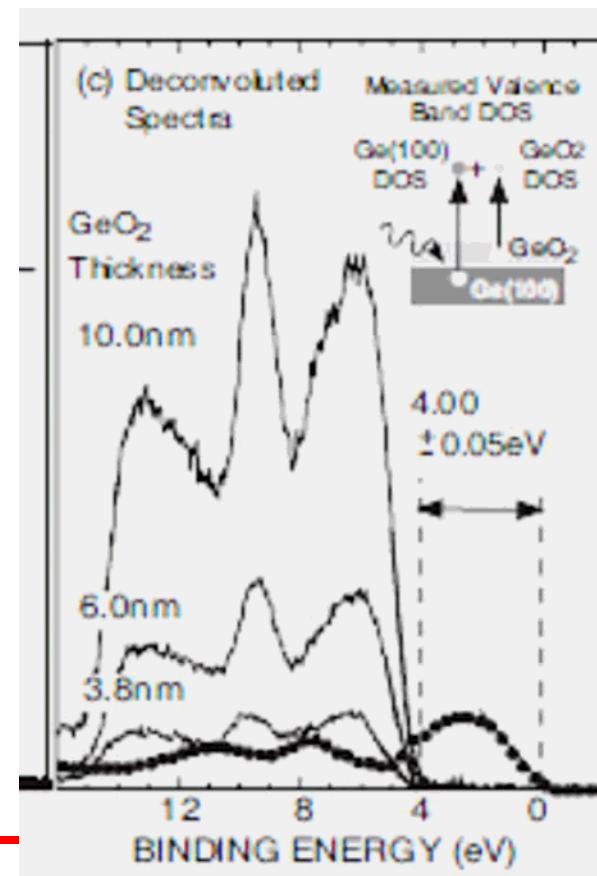
CUED

# GeO<sub>2</sub> Band Gap, Band Offsets from Photoemission

- A Ohta, S Miyazaki et al, eJ Surf Sci Nanotech 4 174 (2006)
- Band gap



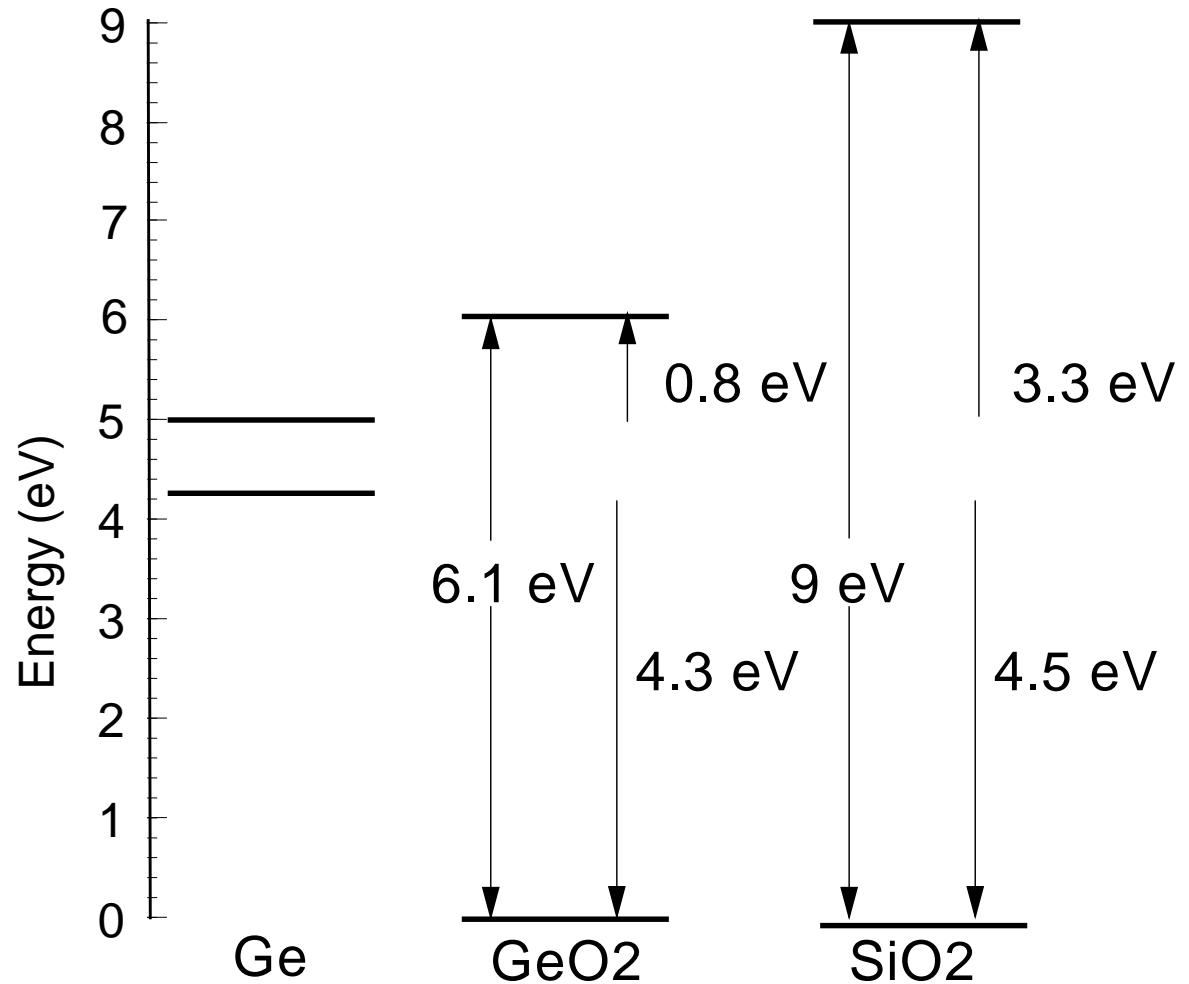
VB offset



CUED

# Band gap, band offsets

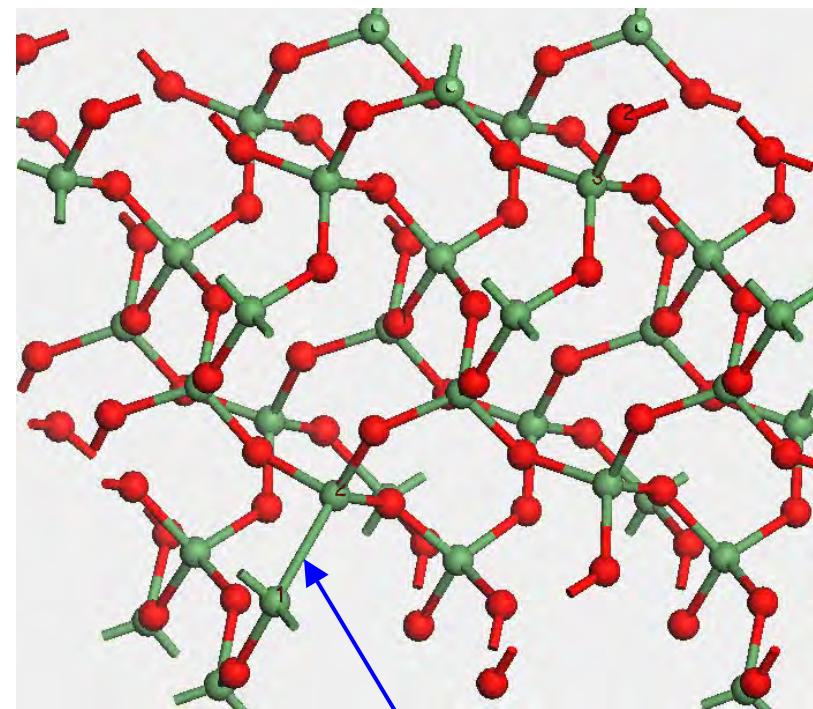
- Band gap is much lower for  $\text{GeO}_2$  due to smaller CB offset
- VB offset almost unchanged (O-like character of VB top)



# Oxygen Vacancy Defects in $\text{SiO}_2$

---

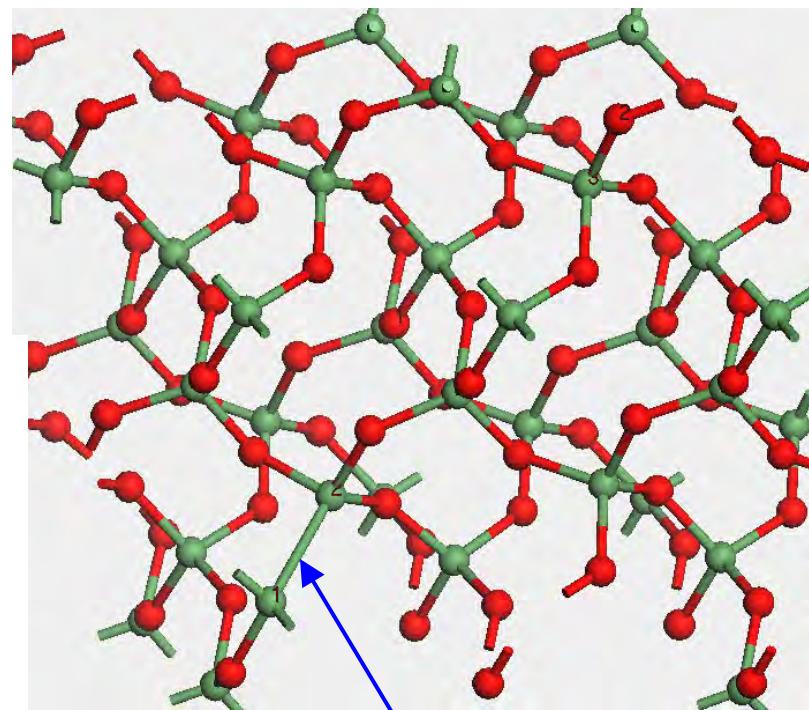
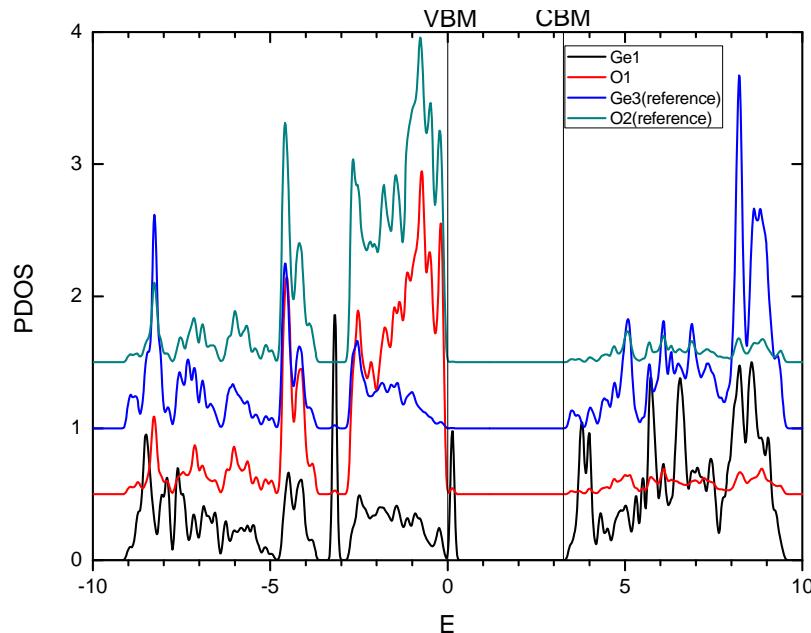
- E' centers
- Neutral Oxygen vacancy in  $\text{SiO}_2$  relaxes to a Si-Si bond



# Oxygen Vacancy Defects in $\text{GeO}_2$

---

- E' centers
- Neutral Oxygen vacancy in  $\text{GeO}_2$  relaxes to a Ge-Ge bond
- No states in gap (GGA)

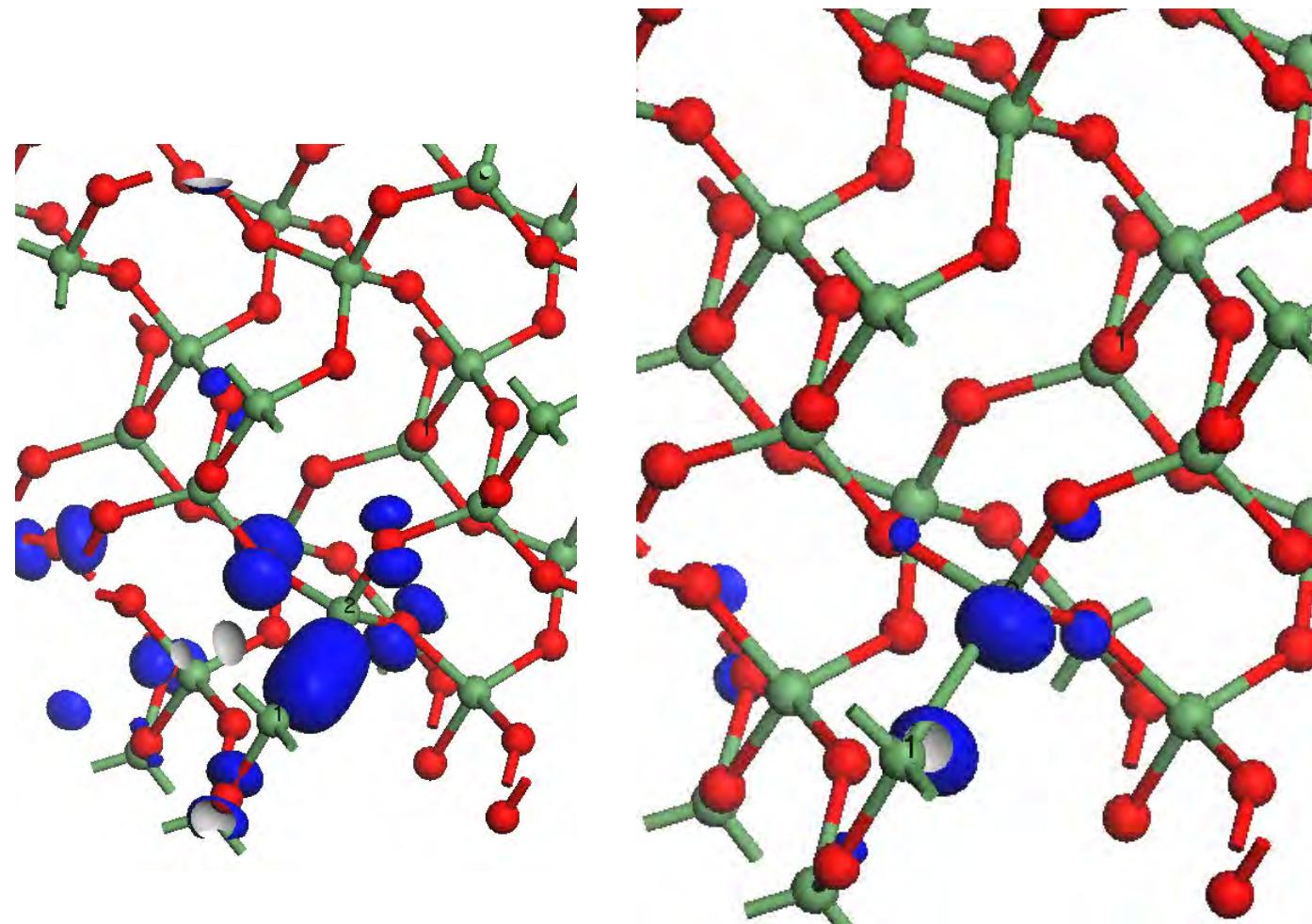


---

CUED

# Defect Wavefunctions

---



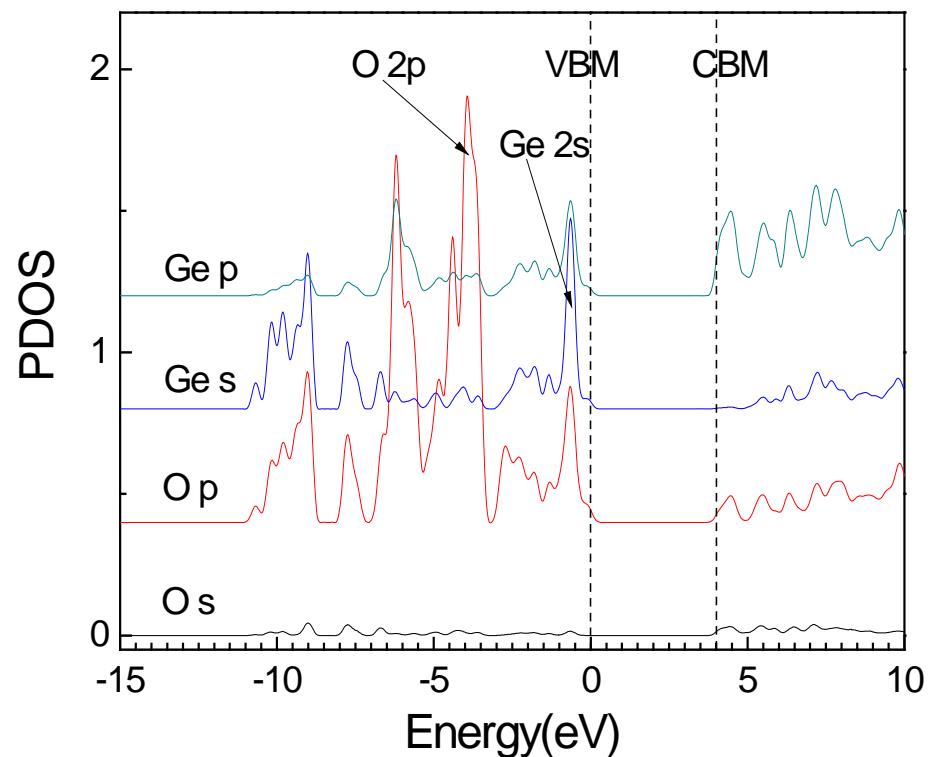
---

CUED

# GeO states

---

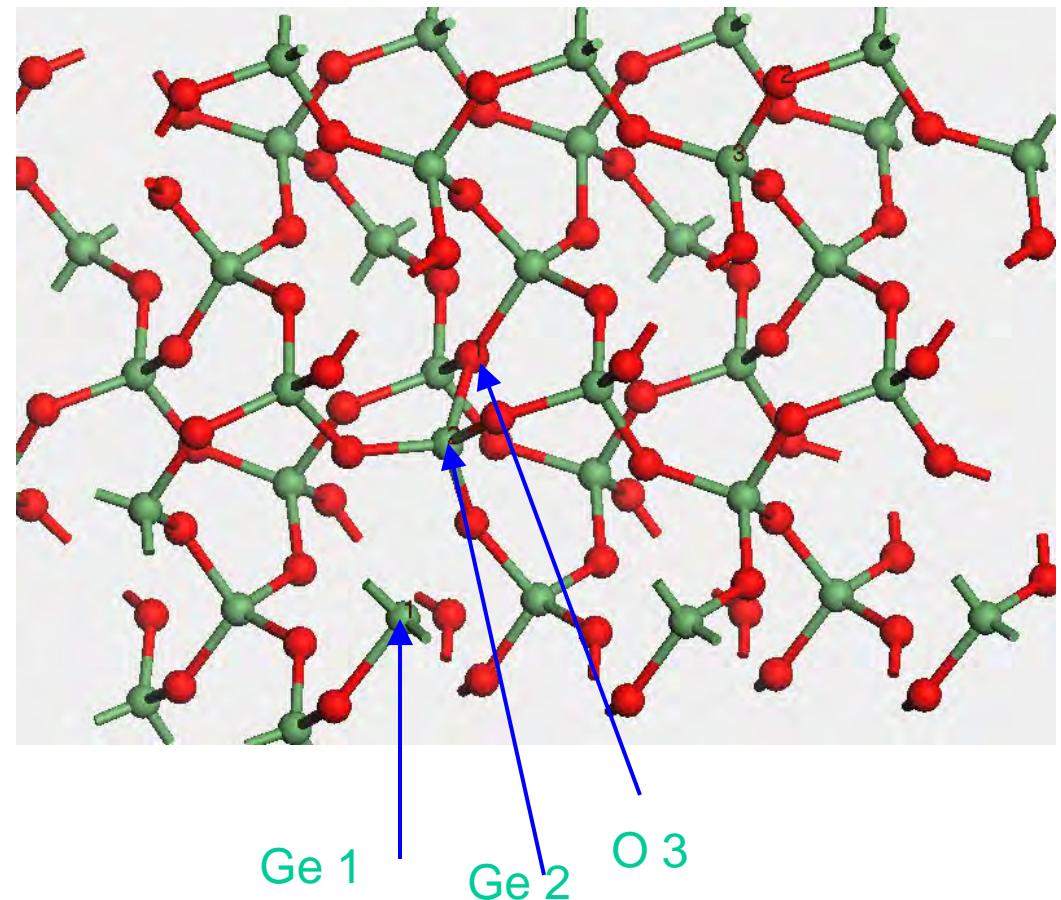
- GeO has filled Ge s states,
- VB of Ge s, O 2p.
- CB of Ge p states



# Novel defects in $\text{GeO}_2$

---

- Ge-Ge bond breaks
- One 3-fold Ge atom flips through Ge-O, to bond to back Oxygen
- Makes 3-fold Ge +3-fold O



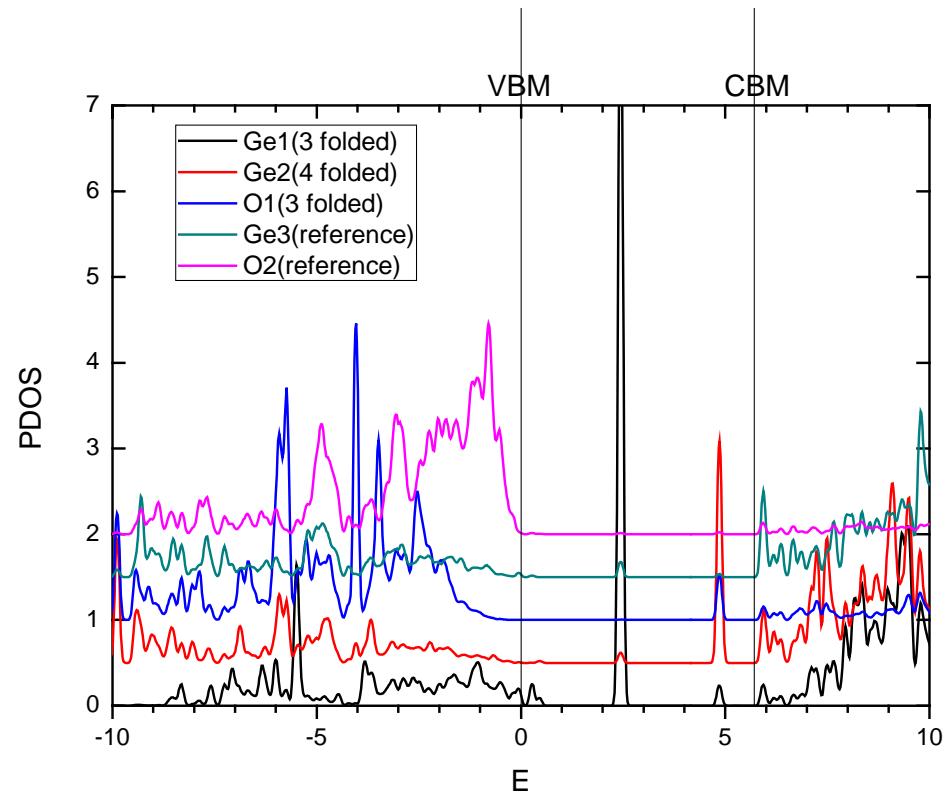
---

CUED

# Novel defects in $\text{GeO}_2$

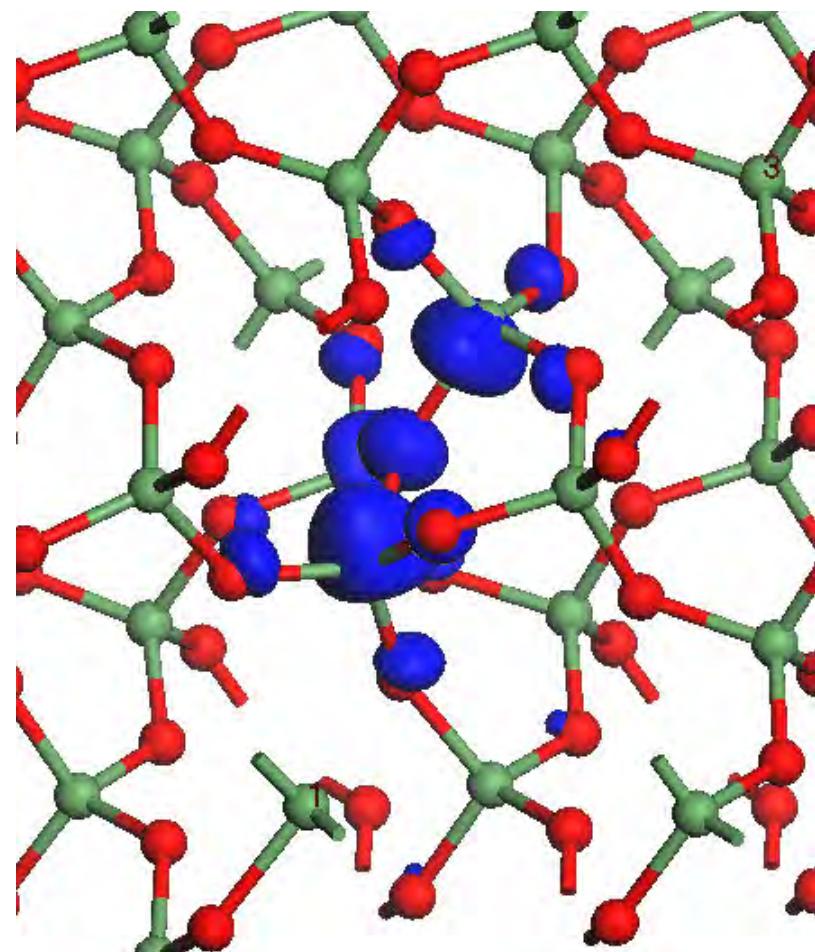
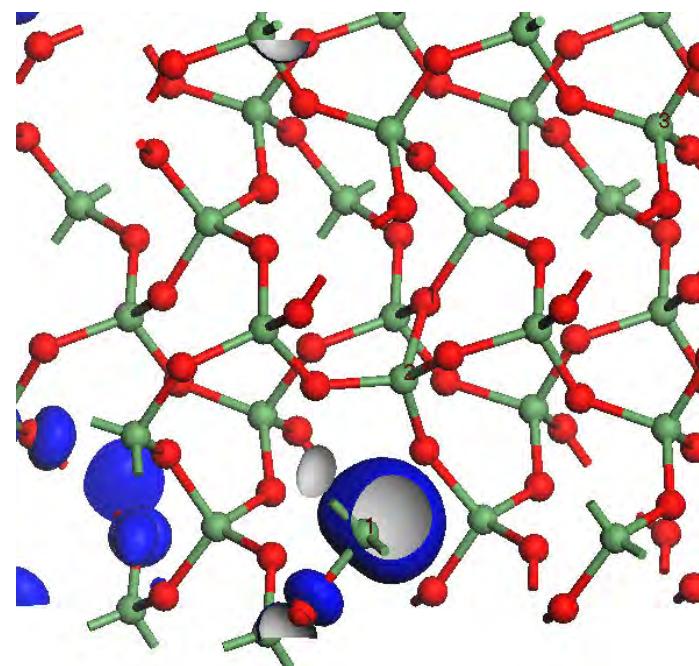
---

- 3-fold G gives gap state ( $s\chi$ )
- 3-fold O gives state at CB edge, localised on adjacent Ge sites
- Will create defects at Ge:GeO<sub>x</sub> interface, as GeO volatilisation



# wavefunctions

---



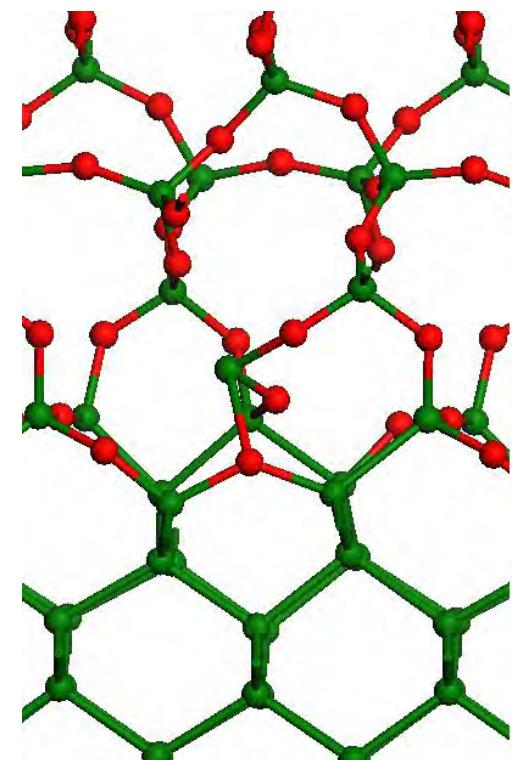
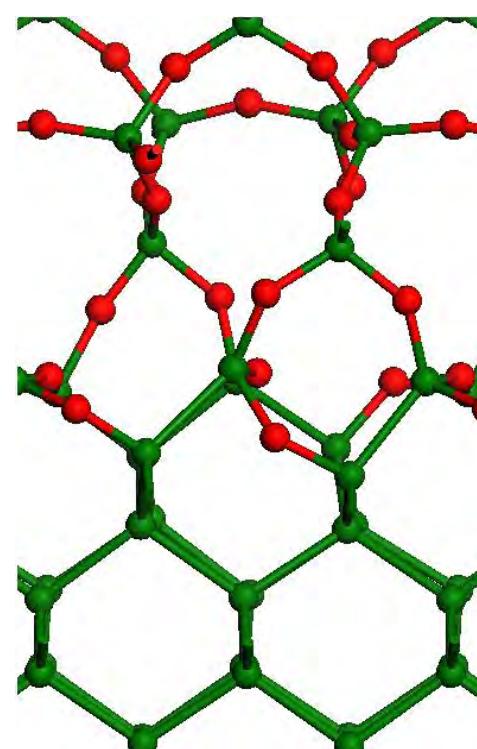
---

CUED

## Poor interface

---

- Si:SiO<sub>2</sub> interface is abrupt and smooth for T<1100C.
- SiO<sub>x</sub> dissociates into Si and SiO<sub>2</sub> (Lucovsky, JNCS 227 1 (1998))
- GeO<sub>x</sub> would not do same



---

CUED

## Possible solutions

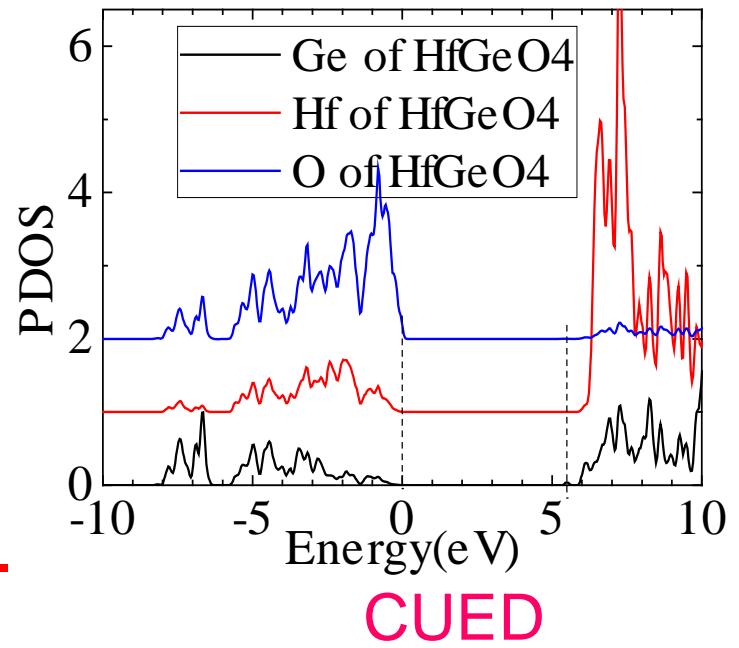
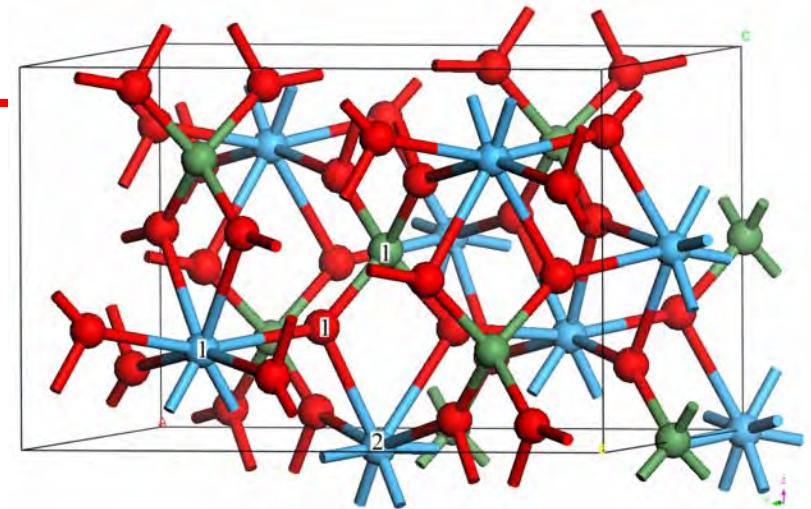
---

- Remove  $\text{GeO}_2$  layers (but only good interface?)
- $\text{LaGeO}_x$  (Dimoulas etc)
- $\text{GeSr}$ , etc (Kamata)
- $\text{GeN}_x$
- Check nature of these interfaces and bulk structures

# HfGeO<sub>4</sub> interfaces

---

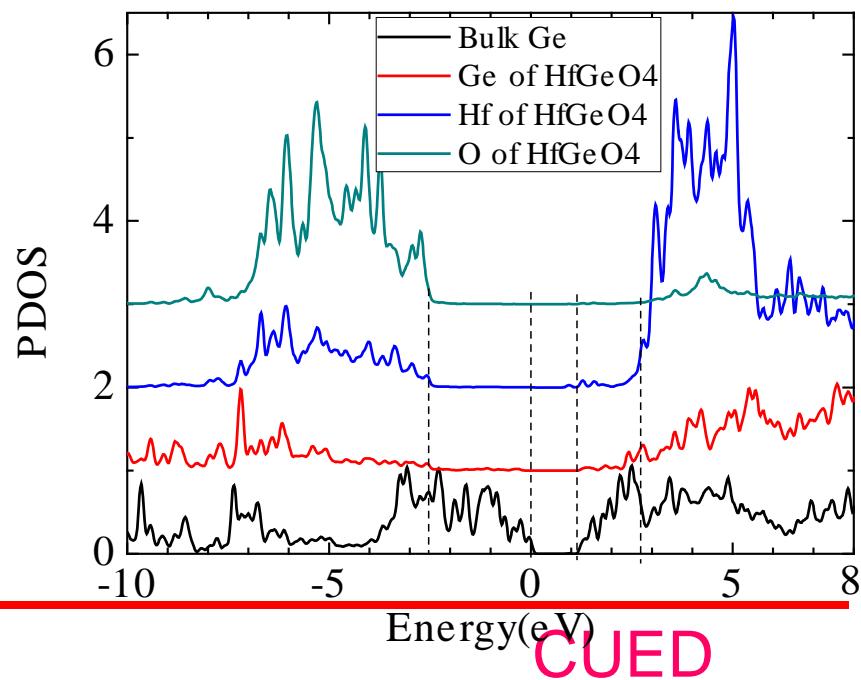
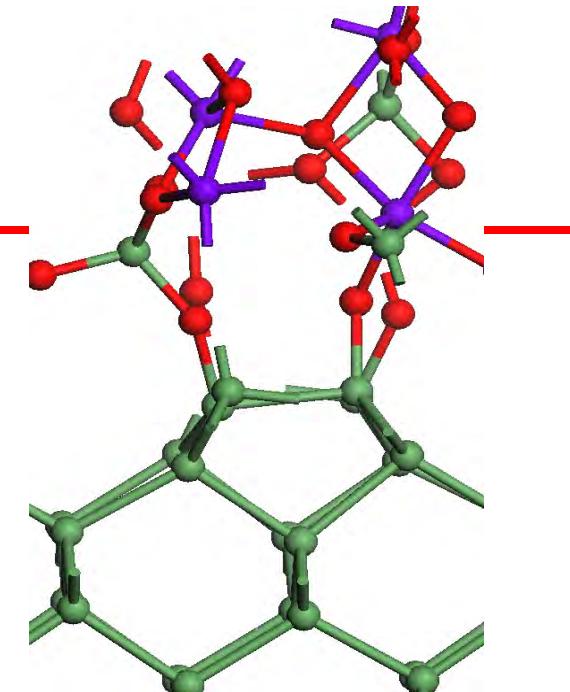
- HfGeO<sub>4</sub> has ZrSiO<sub>4</sub> structure,
  - 3-fold O,
  - 4-fold Ge,
  - 8-fold Hf
- 
- Gap = 5.2 eV
  - CB min = Ge s,p
- 



## HfGeO<sub>4</sub> interfaces

---

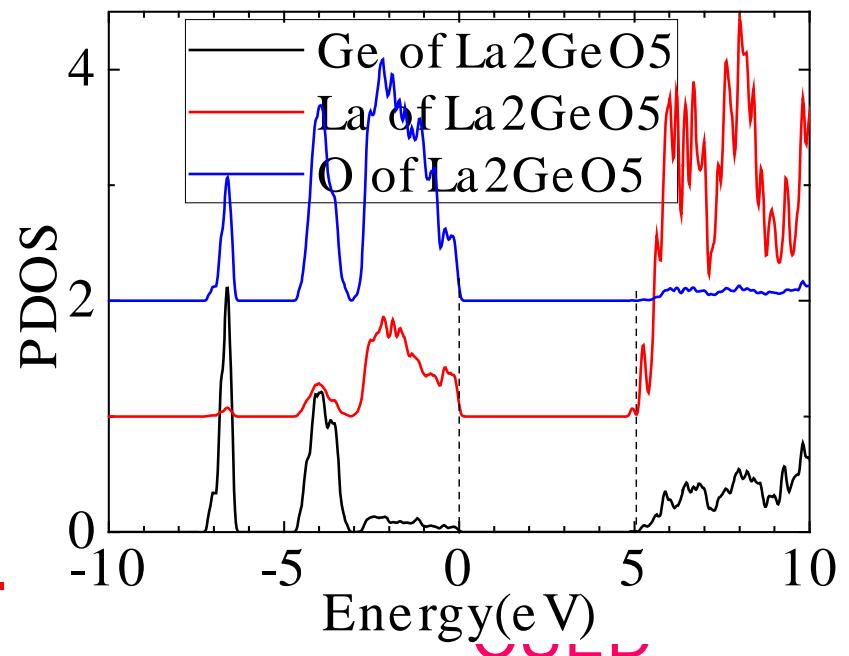
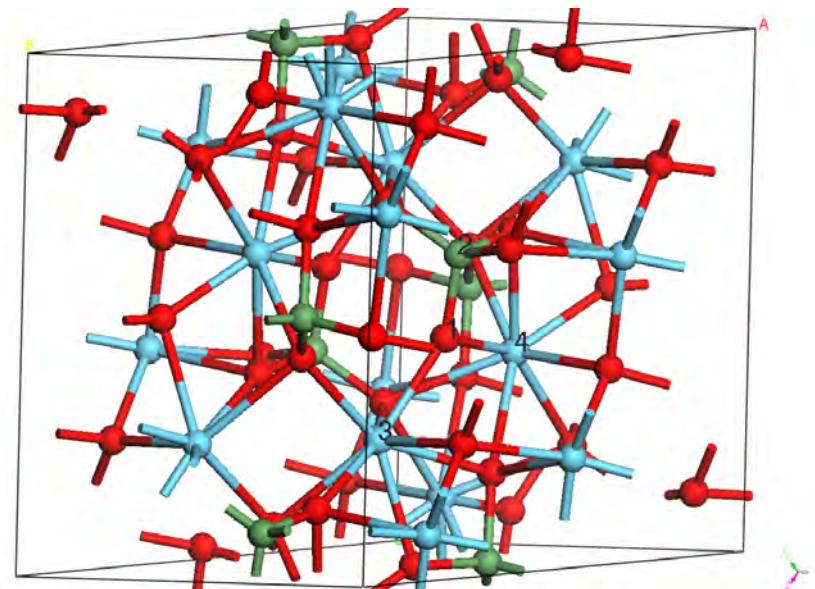
- Ge(100): HfGeO<sub>4</sub> interface is well bonded
- gap = 5.2 eV
- VBO = 2.8 eV,
- CBO = 1.5 eV (SX not LDA)



## Structure of $\text{La}_2\text{GeO}_5$

---

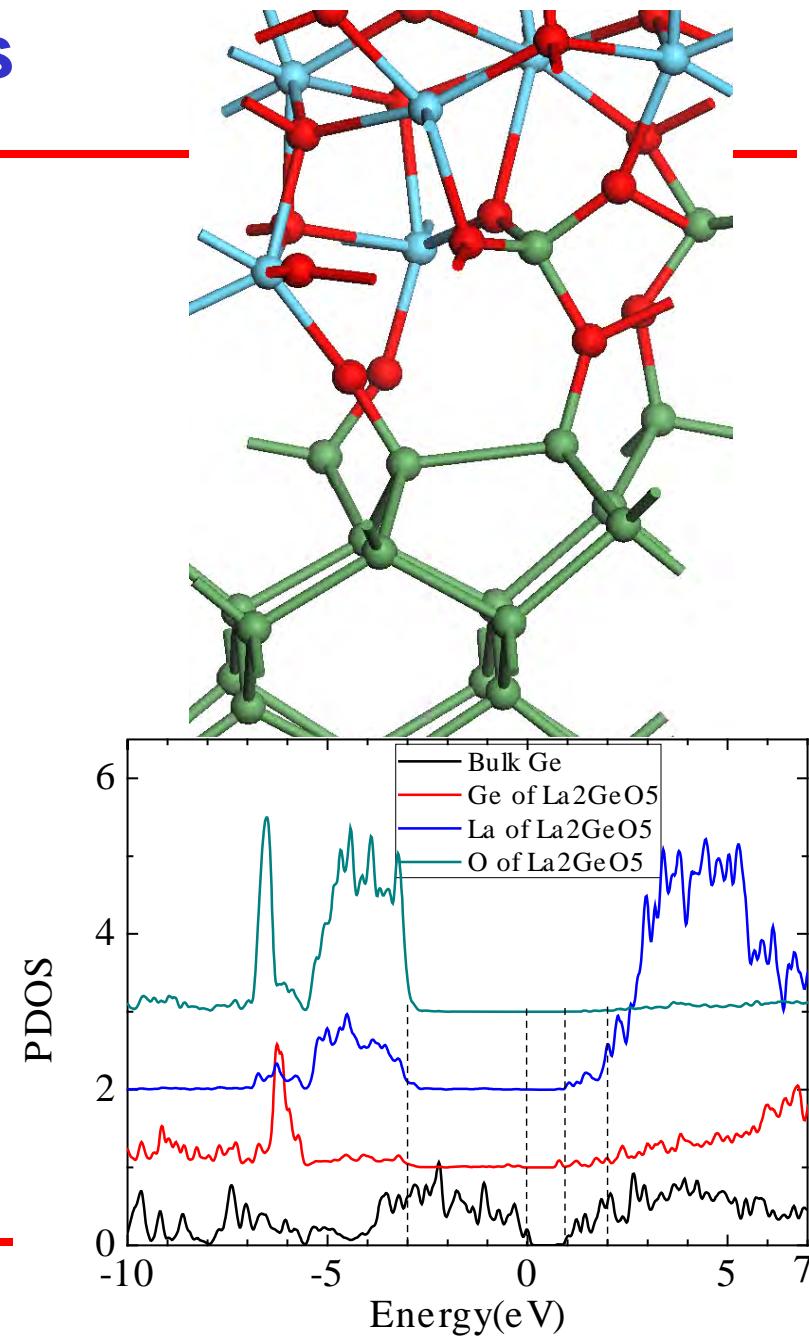
- $\text{La}_2\text{GeO}_5$  has low symmetry
  - Ge =4-fold
  - O = 3,4,5-fold
- 
- Band gap = 5.0 eV,
  - CB min = La d states
- 



## La<sub>2</sub>GeO<sub>5</sub> interfaces

---

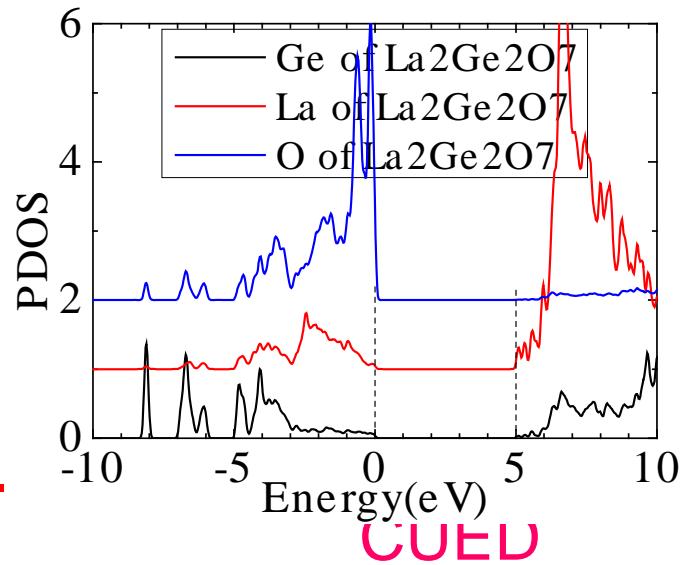
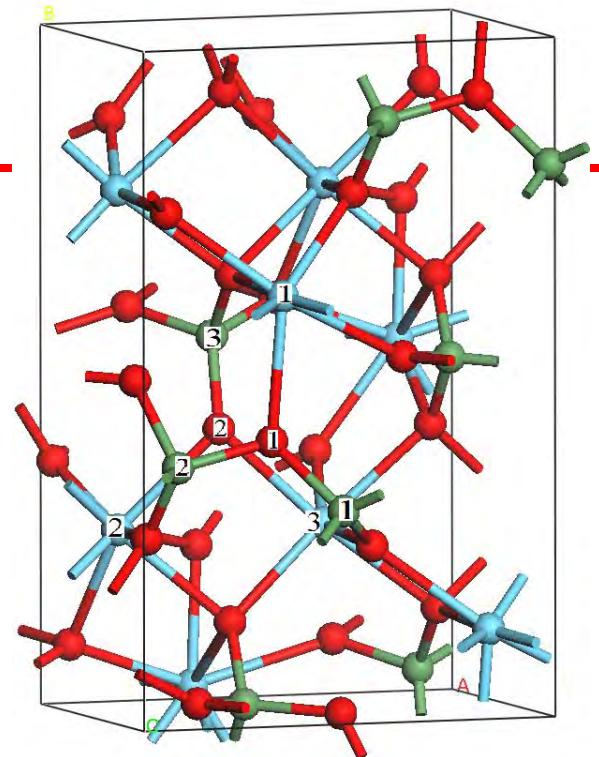
- (100)Ge: La<sub>2</sub>GeO<sub>5</sub> interface
  - VBO = 3.0 eV
- 



# $\text{La}_2\text{Ge}_2\text{O}_7$

---

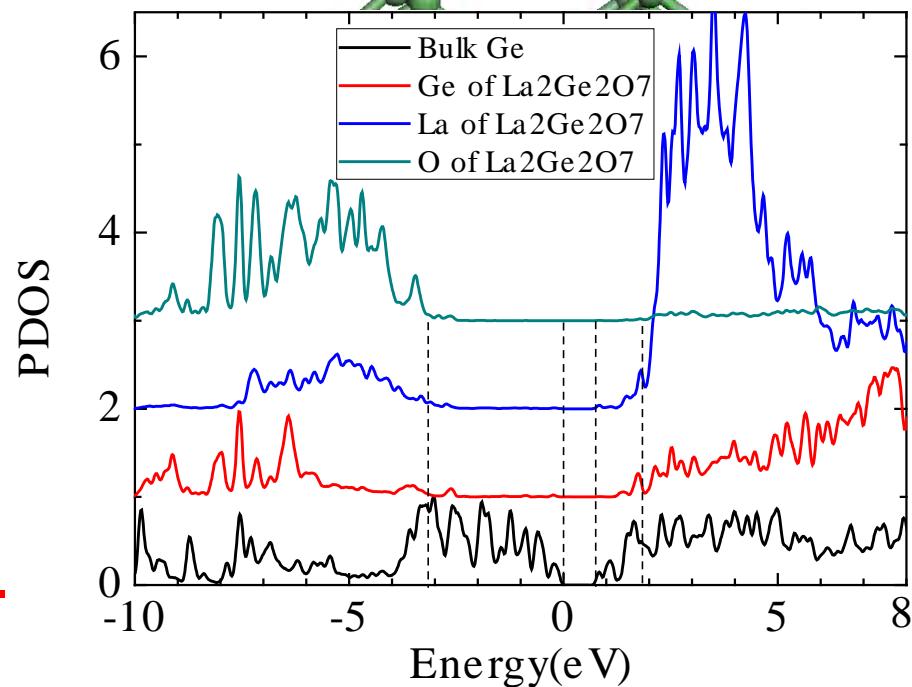
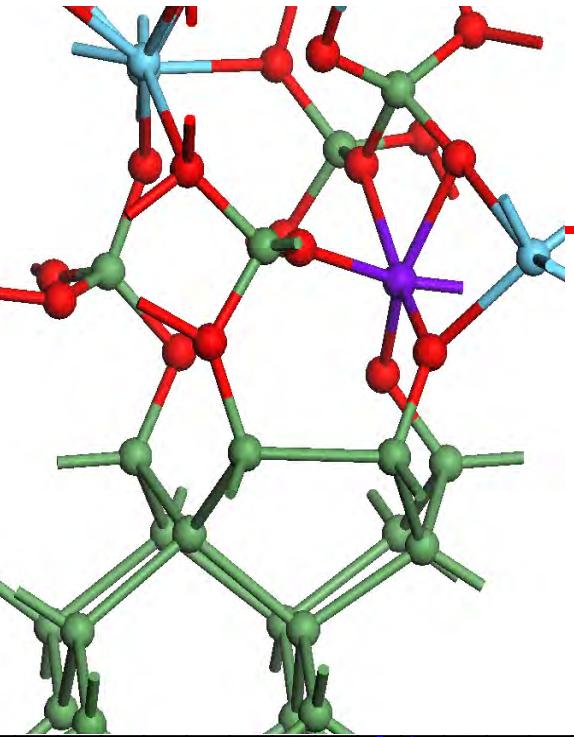
- Triclinic (P1) crystal structure
  - O = 3, 4-fold
  - Ge= 4-fold
  
  - Calculate band structure in SX, which gives correct band gap
  - Band gap = 5.1 eV
  - VB max = O 2p
  - CB min = La d
- 



CUED

## $\text{La}_2\text{Ge}_2\text{O}_7$ interfaces

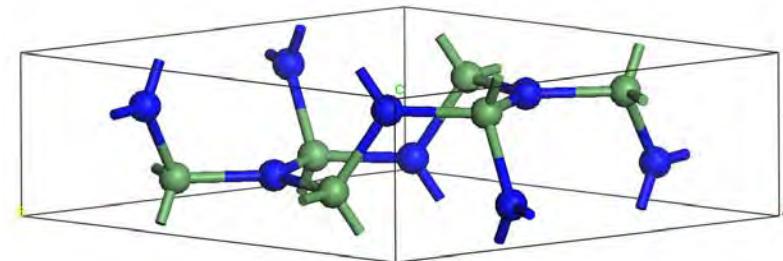
- Create (100)Ge:oxide interface
- Use La atoms to balance valences – no gap states
- Calculate band offsets for interface model
- VBO = 3.0 eV



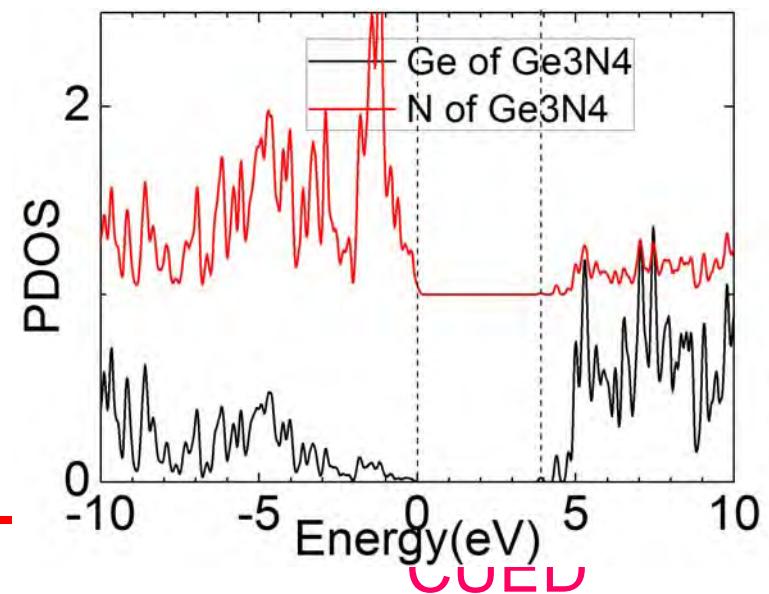
# $\text{Ge}_3\text{N}_4$

---

- Try Nitridation – McIntyre,  
APL 85 2902 (2004)



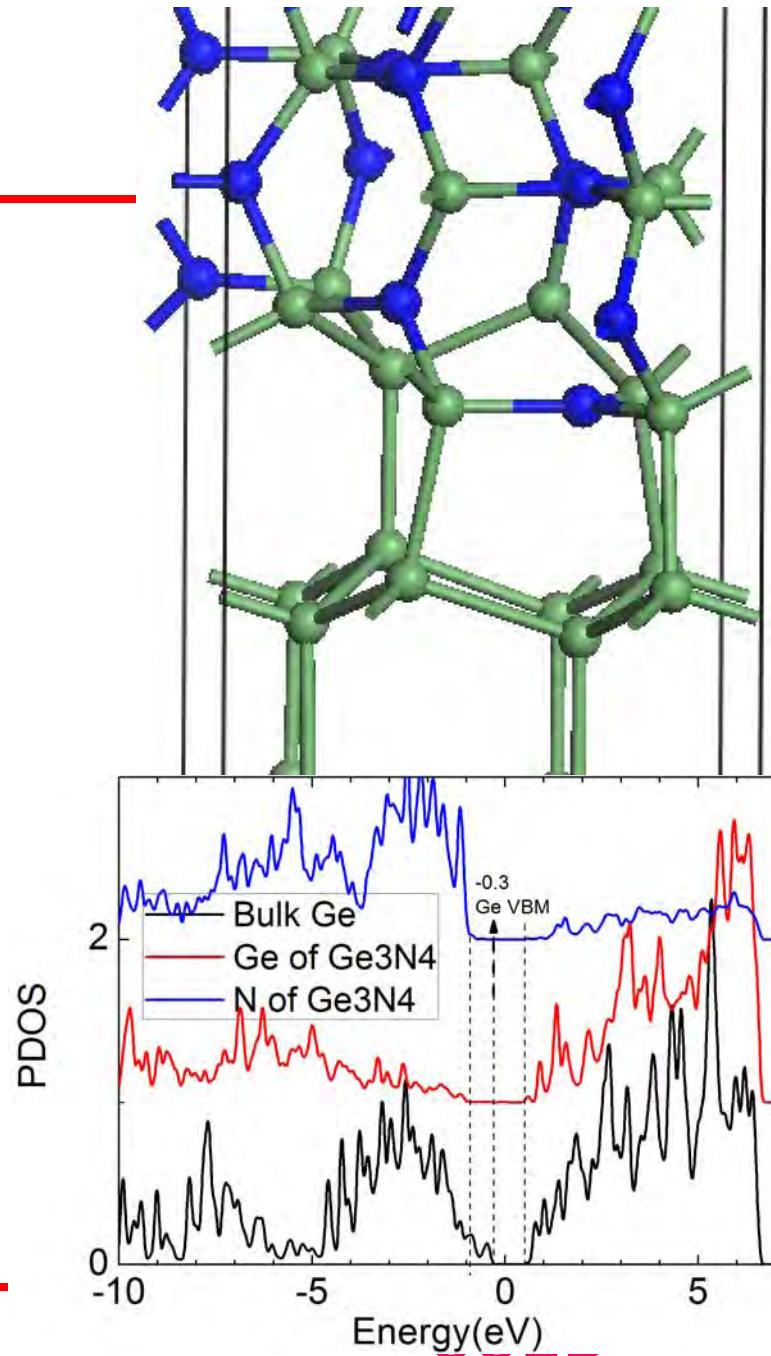
- $\text{Ge}_3\text{N}_4$  band gap = 4.0 eV
- VBO = 1.1 eV



## Ge(111):Ge<sub>3</sub>N<sub>4</sub>

---

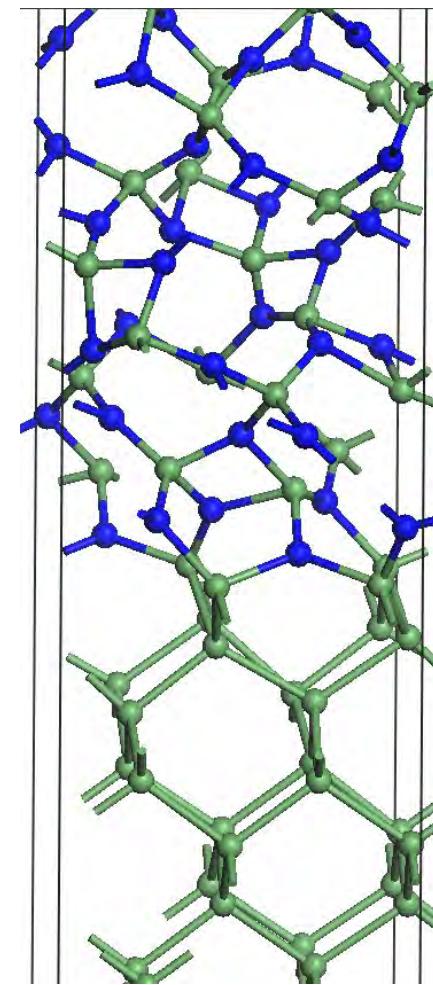
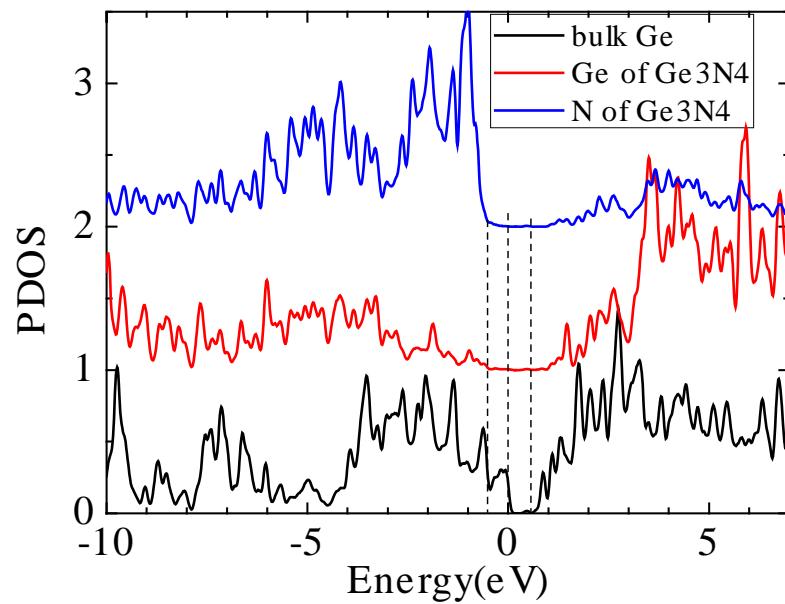
- Try Nitridation – McIntyre, APL 85 2902 (2004)
  - Ge(111) is lattice matched to hexagonal Ge<sub>3</sub>N<sub>4</sub> (S J Wang, APL 93 222907 (2009))
  - Ge<sub>3</sub>N<sub>4</sub> band gap = 4.0 eV
  - VBO = 1.1 eV
- 



# Ge(100): Ge<sub>3</sub>N<sub>4</sub>

---

- Ge(100) can interface with Ge<sub>3</sub>N<sub>4</sub>
- Ge<sub>3</sub>N<sub>4</sub> band gap = 4.0 eV
- VBO = 1.1 eV

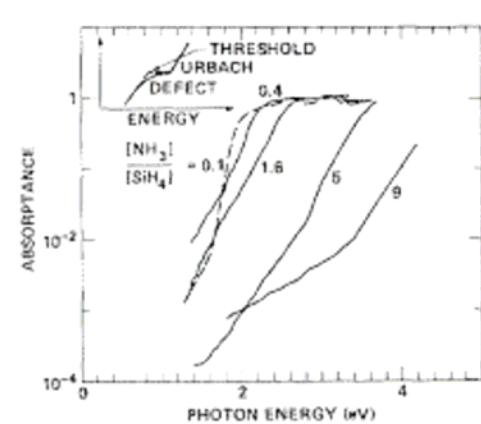
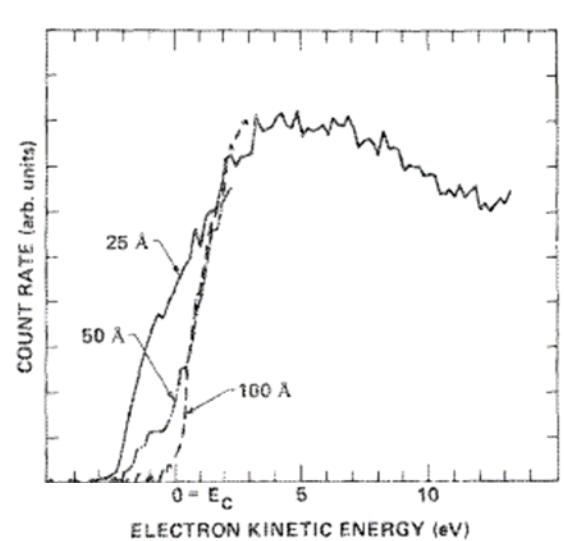


CUED

# Nitrides

---

- Ge:Ge<sub>3</sub>N<sub>4</sub> interface has too small VBO (Yang, APL 93 222907 (2009))
- Si:Si<sub>3</sub>N<sub>4</sub> interface VBO = 1.8 eV (Iqbal, JAP 61 2947 1987)
- Si:HfO<sub>x</sub>N VBO = 2.5 eV
- GeNx, SiNx have valence band tail, causes hole trapping



---

CUED

## Summary of MO<sub>x</sub>

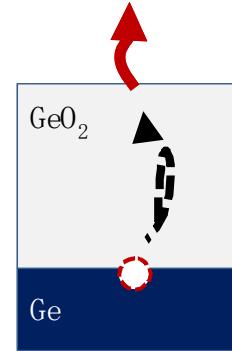
---

- Ge:GeHfO<sub>4</sub> interface is ok
- Ge:GeLaO<sub>x</sub> interface is ok
- Ge:Ge<sub>3</sub>N<sub>4</sub> interface has too small VBO and has VB tail of hole traps

# Is Ge:GeO<sub>2</sub> or HfO<sub>2</sub> poor?

---

- Large D<sub>it</sub>
- GeO<sub>2</sub> on Si is good oxide
- GeO<sub>2</sub> only bad on Ge
- Ge:HfO<sub>2</sub> is poor but why ?

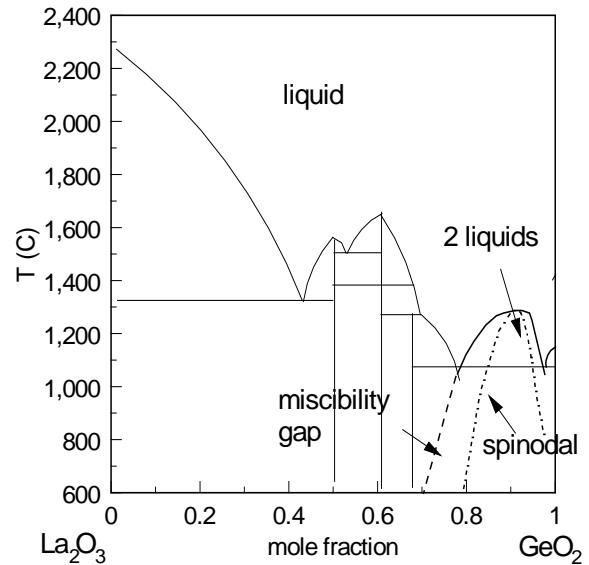
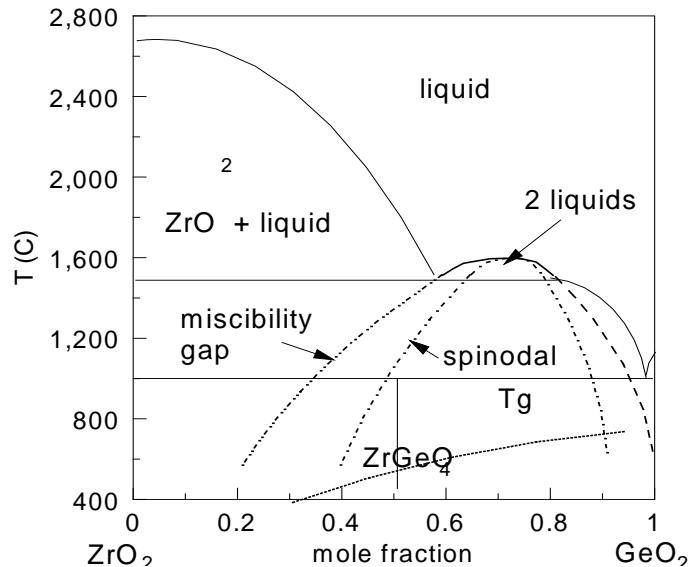


V<sub>O</sub> diffusion  
(3)

# MGeO<sub>x</sub> phase diagrams

---

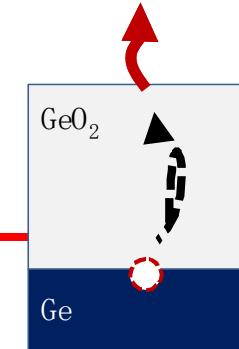
- Phase diagrams scaled from those of MSiO<sub>x</sub>
- Ordering calculated from MO<sub>x</sub> + GeO<sub>2</sub> stability by LDA
- Hf-GeO<sub>x</sub> shows spinodal decomposition
- LaGeO<sub>x</sub> does not



# Ge:GeO<sub>2</sub> :HfO<sub>2</sub>

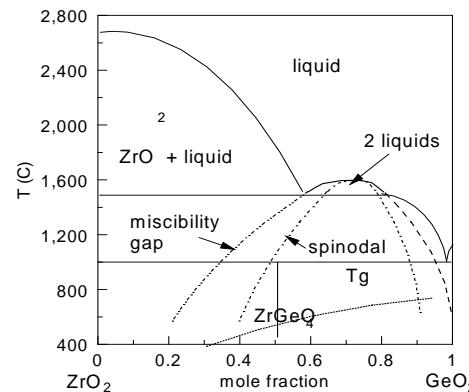
---

- GeHfO<sub>x</sub> will phase separate (spinodal decomposition)

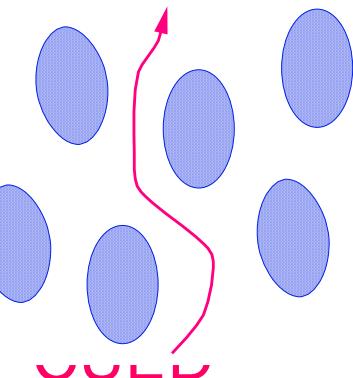


V<sub>O</sub> diffusion  
(3)

- V<sub>O</sub> will diffuse through HfO<sub>2</sub> minority phase, allowing GeO volatilisation / GeO defect formation

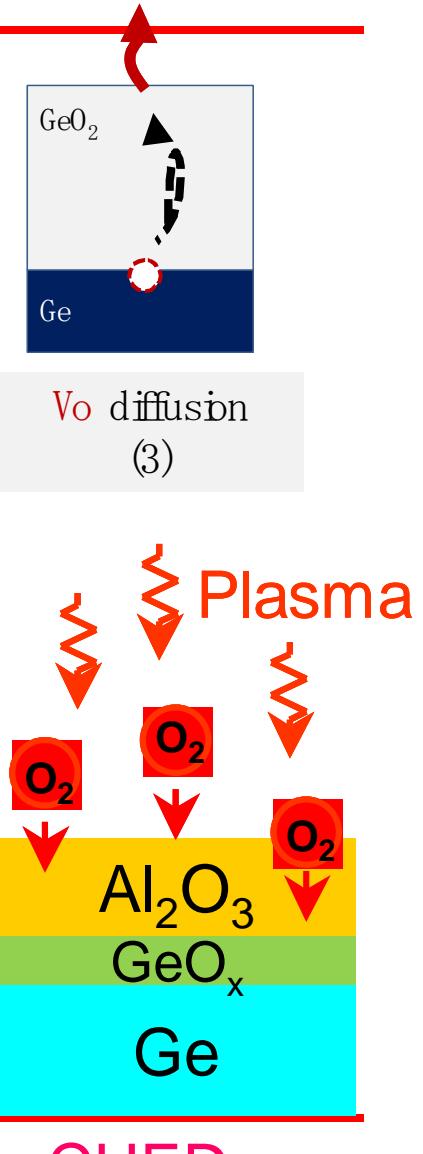


- avoid GeHfO<sub>x</sub>
- 



# Ge:GeO<sub>2</sub>:Al<sub>2</sub>O<sub>3</sub>

- Need diffusion barrier
- GeHfOx will phase separate
- LaGeOx will not phase separate.
- But LaOx has n-type Vfb shifts, not suitable for pFET
- GeNx is diffusion barrier; but too small VBO and hole traps
- Al<sub>2</sub>O<sub>3</sub> is diffusion barrier but low K
- $D_{it} < 10^{11}$  with Ge:GeO<sub>2</sub>:AlOx - best
  - Zhang..Takagi, APL 98 112902 (2011)
- Al<sub>2</sub>O<sub>3</sub>:HfO<sub>2</sub> bilayer, cf Al<sub>2</sub>O<sub>3</sub>:TiO<sub>2</sub> bilayer of McIntyre, APL 96 082904 (2010)



# Conclusions

---

- Ge:GeO<sub>2</sub> interface can be good, like Si:SiO<sub>2</sub>, but avoid GeO volatilisation
  - GeO<sub>2</sub> has low CBO, needs another oxide on top
  - Ge:HfO<sub>2</sub> is not good combination by itself
  - La, Sr appear useful, but n-FET
  - GeHfO<sub>x</sub> is bad due to spinodal decomposition, leaves diffusion path
  - Use Al<sub>2</sub>O<sub>3</sub> barrier, Ge:GeO<sub>2</sub> interface; then HfO<sub>2</sub> on top if necessary
-